Contents

Message from the Vice Chancellor, The University of Hong Kong ............... 4
Message from The University of Hong Kong Librarian ................................. 5
Message from the Chair of the Organizing Committee ............................... 6
Message from the Executive Director of NDLTD ................................. 7

Conference Programme
Board Meeting (Board Members Only): 22 September 2013 (Sunday) .......... 9
Pre-conference Workshop: 23 September 2013 (Monday) ............................. 9
Conference Day 1: 24 September, 2013 (Tuesday) ........................................ 11
Conference Day 2: 25 September, 2013 (Wednesday) .................................... 13
Conference Day 3: 26 September, 2013 (Thursday) ..................................... 17

Keynote Speakers
Xiaolin Zhang .................................................................................................. 19
Professor John Bacon-Shone ........................................................................... 19

Paper Abstracts
Conference Day 1: 24 September, 2013 (Tuesday) ........................................ 20
Conference Day 2: 25 September, 2013 (Wednesday) .................................. 25
Conference Day 3: 26 September, 2013 (Thursday) .................................... 33

Conference organizing committee ................................................................. 38

Conference program planning committee ..................................................... 39

Registrants list .................................................................................................. 40

Electronic Theses and Dissertations 2014 ..................................................... 47

Maps ................................................................................................................. 48
Message from the Vice-Chancellor

On behalf of The University of Hong Kong, I would like to offer a very warm welcome to all the participants of the 16th International Symposium on Electronic Theses and Dissertations, which brings together international and local experts who are committed to electronic publishing and open access to scholarship.

I am pleased to see the HKU Libraries and the Networked Digital Library of Theses and Dissertations co-organising this event, and the University is grateful to the Hong Kong Public Libraries and the Leisure and Cultural Services Department for their support.

I hope participants in the Symposium will see it as an opportunity to share ideas and experience with their international peers, advance their knowledge in their respective areas of expertise, and build partnerships and collaborative endeavours, so that together, they will ultimately be able to enhance the digital dissemination of knowledge worldwide.

For over a century, HKU has recognised the importance of knowledge and education in transforming lives, building communities and serving society. The HKU Libraries have played an important role in the dissemination of knowledge so that learning, research and knowledge exchange can take place, and this Symposium is thus a powerful and timely reminder of the values of Knowledge, Heritage and Service that HKU continues to stand for.

Professor Lap-Chee Tsui
Vice-Chancellor and President
The University of Hong Kong
Message from The University of Hong Kong Librarian

It gives me great pleasure to welcome you to Hong Kong and to the 16th International Symposium on Electronic Theses and Dissertations (ETD 2013). The University of Hong Kong Libraries, in conjunction with the Hong Kong Public Libraries of the Leisure and Cultural Services Department of the Government of the Hong Kong Special Administrative Region of China, is extremely proud to host this major international event. As one of the first universities in Asia to provide comprehensive, open access to ETDs, it is only fitting that The University of Hong Kong Libraries host this international event appearing for the first time in Asia.

With the theme Asian Values, Western Thought, World Treasure, the event promises to showcase a range of developments from around the world in the various themes covered by the symposium which include digital preservation, copyright / intellectual property, institutional repositories, ETD policies and programs, IT in ETD and institutional repositories, digital libraries, national and international collaboration and others. With presentations and posters from a vast array of regions including North America, South America, Asia, Australia, New Zealand, Europe, Africa and the Middle East, the conference will provide many diverse international perspectives. The best selection of these will appear in a forthcoming special issue of the journal Library Management.

I take this opportunity to express my sincere thanks to all members of the Program Planning and Organizing Committees. Their tireless efforts will ensure that the conference will be a resounding success.

Finally, I encourage all delegates visiting Hong Kong for the conference to take the opportunity to experience the sights, sounds and smells of this truly amazing city.

Peter Sidorko
University Librarian
The University of Hong Kong
Message from the Chair of the Organizing Committee

Thirteen years ago, Professor Ed Fox came to visit us at The University of Hong Kong (HKU). He told us what could be done with electronic theses and dissertations. It was an eye-opener. And his words fell into fertile ground. In 2001, the very next year, the HKU Senate approved making ETDs mandatory for research post-graduate students. Since then the ETD requirement has spread to all postgraduate HKU degrees. With partner funders in the US and digitization libraries in China, we have now placed the entire backfile online in open access in our institutional repository; a total of 21,000+ HKU ETDs.

If you had asked me then in 2000, about the prospect of moving HKU to an ETD programme, let alone the idea of hosting an international conference on ETDs in 2013, I would have answered, “An impossible idea!” But with the right people, everything becomes possible.

So what new impossible things can we now achieve? This conference is a venue to explore exciting ideas, learn from past leaders, and plan your next strategic steps. East truly meets West here. Speakers and delegates come from all over the world. The mélange is, and will be exciting and unexpected.

Welcome to ETD 2013! Let’s build an “impossible” future !!

David Palmer
Chair, ETD 2013 Organizing Committee
Message from the Executive Director of NDLTD

Welcome to ETD 2013! This event marks 20 years since the Working Group on Theses, Technical Reports and Dissertations was launched within MEL, an electronic library project in the Southeast region of the USA. It is heartening that the international ETD movement has spread so broadly, leading to this top-notch program, that describes our exciting international conference now situated in Hong Kong.

We are grateful to The University of Hong Kong Libraries and all of the sponsors for their support of this event, and to the conference and program organizers as well as to the many other volunteers who have assisted.

Congratulations go to the 2013 Award winners, and our gratitude goes to the keynote speakers, who are leaders in the digital library and social science communities. Those attending “ETDs for Rookies” should be well prepared for the main event, and those involved in the ETD Life Cycle Management Workshop should have a broader and curation-focused view of opportunities and challenges as ETD activities mature.

The conference as a whole should: provide food for thought on important practical and technical problems; guide through the inspiration of success stories, including at the national level; provide insights into feasible innovations; give solutions to broaden open access; and help connect work on ETDs with related institutional repository and digital library advances.

Please be sure to make new friends, and then to safely return home, charged with a renewed commitment to further advance the worldwide ETD initiative.

Ed Fox
Executive Director, NDLTD
Virginia Tech University
Asian Values, Western Thought, World Treasure!
**Event Schedule**

All Workshops and Sessions will be held at the Hong Kong Central Library, 66 Causeway Road, Causeway Bay, Hong Kong

---

**22nd September 2013 (Sunday)**

<table>
<thead>
<tr>
<th>Time</th>
<th>Event Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>09:00 – 17:30</td>
<td>NDLTD Board Meeting (Board Members Only)</td>
</tr>
</tbody>
</table>

---

**23rd September 2013 (Monday)**

<table>
<thead>
<tr>
<th>Time</th>
<th>Event Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>12:30 – 13:15</td>
<td>Registration (outside Lecture Theatre A)</td>
</tr>
</tbody>
</table>

---

**Pre-Conference Workshop A**

“ETDs for Rookies” - Newcomer’s Pre-Conference Tutorial: ETD 2013
Open to All Registered Delegates

---

<table>
<thead>
<tr>
<th>Time</th>
<th>Event Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>13:30 – 14:00</td>
<td>Introduction to NDLTD and Brief History of the ETD Movement</td>
</tr>
<tr>
<td></td>
<td>• Ed Fox, Executive Director, NDLTD, Virginia Tech University</td>
</tr>
<tr>
<td>14:00 – 15:00</td>
<td>ETD Implementation Overview</td>
</tr>
<tr>
<td></td>
<td>• John Hagen, Renaissance Scholarly Communications</td>
</tr>
<tr>
<td></td>
<td>o Topics include ETD/IR terminology, program implementation planning, setting time lines, establishing initiatives, campus collaborations with graduate school, libraries and information technology divisions, appointing implementation committees, outreach and advocacy promotions, navigating politics, reviewing/selecting IR systems, providing resources and technical support for students, metadata, archiving/preservation, intellectual property and copyright issues, working with consortia, creating a roadmap to successful implementation, best practices.</td>
</tr>
<tr>
<td>15:00 – 15:30</td>
<td>ETD Submission Systems</td>
</tr>
<tr>
<td></td>
<td>• Austin McLean, ProQuest</td>
</tr>
<tr>
<td></td>
<td>o Topics include ETD Administrator and ETD/IR program services</td>
</tr>
<tr>
<td>15:30 – 15:50</td>
<td>Other ETD / IR Systems</td>
</tr>
<tr>
<td></td>
<td><strong>ETD-db</strong></td>
</tr>
<tr>
<td></td>
<td>• John Hagen, Renaissance Scholarly Communications</td>
</tr>
<tr>
<td></td>
<td>o Topics include ETD-db</td>
</tr>
<tr>
<td>15:50 – 16:10</td>
<td>Break</td>
</tr>
<tr>
<td>16:10 – 17:10</td>
<td>National and Consortium Perspectives</td>
</tr>
<tr>
<td></td>
<td>• China: Connie Lam, and David T Palmer, The University of Hong Kong</td>
</tr>
<tr>
<td></td>
<td>• Brazil: Ana Pavani, Pontifical Roman Catholic University of Rio de Janeiro</td>
</tr>
<tr>
<td></td>
<td>o Topics include rationale for sharing resources and best practices, how to form ETD networks with colleagues in your region and tips on how to organize regional ETD Associations and activities.</td>
</tr>
</tbody>
</table>
17:10 – 17:30  NDTLD Preservation Strategies – MetaArchives Project
- John Hagen, Renaissance Scholarly Communications
  o Topics include cooperative distributed and digital preservation services for ETDs and digital collections and how institutions can participate.

17:30 – 17:50  ETD Metadata / Union Catalog / IR Consortium Networks
- John Hagen, Renaissance Scholarly Communications
  o Topics include breakdown of ETD metadata fields, its importance in uniform application and usage for distributed collections as well as best practices for integrating multi-institutional IR networks.

17:50 – 18:20  ETD Implementation – Lessons Learned
- John Hagen, Renaissance Scholarly Communications et al
  o Interactive segment includes topics on lessons learned from individuals with ETD/IR program experience – addressing audience concerns.

18:20 – 18:30  Discussion / Wrap Up
Moderator, Panellists and Audience

Pre-Conference Workshop B
ETD Life Cycle Management Workshop
Open to All Registered Delegates

13:30 – 18:30  1) Overview of ETD Curation Practices
  o Topics covered will be based on the project’s Guidance Documents for the Lifecycle Management of ETDs, including:
    • Access Levels & Embargoes
    • Copyright Issues & Fair Use
    • Complex ETD Formats
    • Metadata for ETDs
    • ETD Program Options
    • ETD Program Implementations
    • ETD Program Costs
    • ETD Usage Metrics

2) Overview of ETD Curation Tools
Attendees will learn about a number of modular curation tools that have been enhanced during this research project and discover ways that they can begin implementing and using them in their local ETD programs.

This research and workshop is supported by an Institute of Museum and Library Services (IMLS) grant, led by the University of North Texas Libraries, with the Networked Digital Library of Theses and Dissertations, the Educopia Institute/MetaArchive Cooperative, and the libraries of Virginia Tech, Rice University, Boston College, Indiana State University, Pennsylvania State University, and University of Arizona. This workshop is a primer on everything you need to know about distributed digital preservation for ETDs.

18:30 – 20:00  Cocktail Reception
24th September 2013 (Tuesday)

09:30 – 09:45  Registration (outside Lecture Theatre A)

Conferece Programme

09:45 – 10:30  Opening Ceremony and Welcome Speeches
• Master of Ceremonies - Gary Chin, The University of Hong Kong
  • Steven J. Cannon, Executive Vice President, The University of Hong Kong
  • Peter Sidorko, University Librarian, The University of Hong Kong
  • Ed Fox, Executive Director, NDLTD, Virginia Tech University, NDLTD Welcome and Introduction
  • David Palmer, ETD 2013 Organizing Chair, The University of Hong Kong
  • Diamond Sponsor presentation, Austin Mclean, Proquest
    The ETD in the year 2020: Opportunities and Challenges

10:30 – 11:00  Group Photo and Tea Break

11:00 – 12:00  Keynote Speaker: Xiaolin Zhang
Executive Director
National Science Library of Chinese Academy of Sciences
Title: Transforming the Repository, the Collections, and the Library into a Users' Open Innovation Lab
Moderator: Peter Sidorko, The University of Hong Kong

Parallel Session A - Success Stories I
Moderator: Li Hai Peng, Hong Kong Baptist University

12:00-13:00  1) Major ETD initiatives in India
• Motebennur, Maltesh, Librarian, Rajiv Gandhi University, India

2) ETD on a shoestring—with an IR bonus
• Feuer, Gabor, Library IT manager, University of Ontario Institute of Technology, Canada

Parallel Session B - National Initiatives I
Moderator: Yiu Chuen Wan, The University of Hong Kong

12:00-13:00  1) An overview of some ETD repositories in Brazil
• Pavani, Ana, Member of IEEE, Pontifícia Universidade Católica do Rio de Janeiro, Brazil

2) Analytical study of the ETD repositories and government initiatives for depositing ETDs in India
• Gupta, Dinesh K., Chairman of Department of Library and Information Science, Kurukshetra University, India
• Gupta, Neerja, Kurukshetra University, India

13:00 – 14:30 Lunch and Posters

Venue

<p>| Exhibition Gallery | Session A Lecture Theatre A | Session B Activity Room B | Session C Activity Room C |</p>
<table>
<thead>
<tr>
<th>Time</th>
<th>Parallel Session A</th>
<th>Parallel Session B</th>
</tr>
</thead>
</table>
| 14:30 – 15:30 | 1) ETD: total cost of ownership - collecting, archiving and providing access  
• Han, Yan, Associate Librarian, The University of Arizona Libraries, USA  
2) Open access ETDs: What roles do libraries play?  
• Li, Xiaoli, Head of Cataloguing & Metadata Services, University of California, Davis, USA | 1) ABCD open source software for managing ETD repositories  
• Dhamdhere, Sangeeta N, Librarian/Assistant Professor, Modern College of Arts, Science and Commerce, India  
• Lihitkar, Ramdas, Librarian, Government College of Science, India  
• De Smet, Egbert, Professor, Antwerp University, Belgium  
2) Batch import of metadata and corresponding bit-streams in Dspace  
• Shewale, Nanaji, Librarian, Gokhale Institute of Politics and Economics, India  
• Prasad, ARD, Professor and Head, Documentation Research and Training Centre, India  
• Madalli, Devika P., Associate Professor, Documentation Research and Training Centre, India  
• Barve, Sunita, Senior Technical Officer, National Chemical Laboratory, India |
| 15:30 – 16:00 | Tea Break |  |
| 16:00 – 17:00 | 1) Policy shift and repository infrastructure. The road to EDT only collection at the University of Sydney  
• Christensen, Sten, Sydney eScholarship Repository Coordinator, University of Sydney Library, Australia  
2) Using an ETD database as an incubator for research discovery: a report of findings  
• McLean, Austin, Director of Scholarly Communication and Dissertations Publishing, ProQuest / UMI, USA |  |
| 16:00 – 17:00 | 1) Open access to electronic theses at CUHK: the roadmap and the way beyond  
• Lam, Louisa, Senior Sub-Librarian & Head of Information Technology and Planning, Chinese University of Hong Kong, Hong Kong SAR  
2) Picking up the pieces: a retro ETD project at Utah State University  
• Clement, Richard W., Dean of Libraries and Professor of History, Utah State University, USA |  |
| 18:00 – 22:00 | Conference Dinner and Awards Ceremony  
Regal Palace Restaurant at the Regal Hotel, 88 Yee Wo Street, Causeway Bay, Hong Kong |  |
25th September 2013 (Wednesday)

09:30 – 10:30

Keynote Speaker: Professor John Bacon-Shone
Associate Dean (Knowledge Exchange)
Faculty of Social Sciences, The University of Hong Kong

Title: Knowledge Processes in The University of Hong Kong

Moderator: David Palmer, The University of Hong Kong

10:30 – 11:00

Tea Break

Parallel Session A - National Initiatives II

Moderator: Steve Ching, City University of Hong Kong

11:00 – 12:30

1) 高校學位論文全文獲取服務環境建設現狀與展望
CALIS full text service - actuality and expectation

- 曾麗軍, 副研究館員, CALIS管理中心, 中國
  Zeng, Lijun, Deputy Research Librarian, CALIS Management Centre, China
- 孫維蓮, 清華大學圖書館, 中國
  Sun, Weilian, Tsinghua University Library, China

2) CALIS高校學位論文服務體系的建設與發展
The establishment and development of CALIS theses and dissertations service system

- 趙陽, 副研究館員, 清華大學圖書館, 中國
  Zhao, Yang, Deputy Research Librarian, Tsinghua University Library, China
- 姜愛蓉, 副館長/研究館員, 清華大學圖書館, 中國
  Jiang, Airong, Deputy Librarian/Research Librarian, Tsinghua University Library, China

Parallel Session B - ETD Life Cycle III

Moderator: Steve O'Connor, Information Exponentials

11:00 – 12:30

1) Open source ETD submission software: an update on Jarrow ETD

- Bakker, Caitlin, interim Digital Initiatives Librarian, University of Northern British Columbia Library, Canada
- Hvezda, Peter, Web Developer, University of Northern British Columbia Library, Canada
- Yule, Daniel, Ph.D. candidate in computer science, Dalhousie University, Canada
- MacDonald, James R.W., Web Services Librarian, American University of Sharjah, United Arab Emirates

2) Creating order out of chaos: introducing name authority control into a large ETD collection

- Waugh, Laura, Scholarly Works Repository Librarian, University of North Texas, USA
- Tarver, Hannah, Department Head of Digital Projects Unit, University of North Texas, USA
- Phillips, Mark, Assistant Dean for Digital Libraries, University of North Texas, USA

3) Doctoral theses’ research data and metadata documentation

- Kindling, Maxi, Lecturer, Research Assistant & PhD candidate, Humboldt-Universität zu Berlin, Germany

Venue

Exhibition Gallery
Session A Lecture Theatre A
Session B Activity Room B
Session C Activity Room C
### Parallel Session C - Success Stories III
**Moderator: Louise Jones, Chinese University of Hong Kong**

<table>
<thead>
<tr>
<th>Time</th>
<th>Session</th>
</tr>
</thead>
</table>
| 11:00 – 12:30 | 1) Campus partnerships advance both ETD implementation and Institutional Repository development: A Win-Win strategy at Syracuse University  
- Li, Yuan, Scholarly Communication Librarian, Syracuse University, USA  
2) Implementing a multi-tiered framework for building NDLTD-Taiwan  
- Chiang, Chia-Ning, Editor, National Central Library, Taiwan  
- Wang, Hung-Te, National Central Library, Taiwan  
- Lin, An-Chi, National Central Library, Taiwan  
3) The good, the bad and the ugly. A case study of the implementation of e-thesis policy at SOAS, University of London  
- Lieu, Huei-Lan, Repository Support Officer, SOAS, University of London, UK  
- Clark, Beth, Head of Electronic Services, SOAS, University of London, UK |
| 12:30 – 14:00 | Lunch                                                                                      |
| 14:00 – 15:30 | 1) The ETD development and service of tertiary schools in Mainland China - a Peking University Library case  
- Wang, Yalin, Deputy Director/Librarian of Resource Development Department, Peking University Library, China  
- Zhang, Hongyang, Director/Research Librarian of Special Collection Department, Peking University Library, China  
2) The expanded usage of theses and dissertations - an exemplification  
- Li, Xin, Research Librarian, East China Normal University Library, China  
- Liu, Dan, East China Normal University Library, China  
- Yu, Yaxiu, East China Normal University Library, China  
- Zhang, Yi, East China Normal University Library, China  
- Chen, Zhiqing, East China Normal University Library, China  
3) The innovative practice in theses and dissertations development in Peking University Library  
- Zhang, Yiwen, System Librarian, Peking University Library, China |

---

### Parallel Session A - Success Stories IV
**Moderator: Jiang Airong, Tsinghua University**

<table>
<thead>
<tr>
<th>Time</th>
<th>Session</th>
</tr>
</thead>
</table>
| 11:00 – 12:30 | 1) Campus partnerships advance both ETD implementation and Institutional Repository development: A Win-Win strategy at Syracuse University  
- Li, Yuan, Scholarly Communication Librarian, Syracuse University, USA  
2) Implementing a multi-tiered framework for building NDLTD-Taiwan  
- Chiang, Chia-Ning, Editor, National Central Library, Taiwan  
- Wang, Hung-Te, National Central Library, Taiwan  
- Lin, An-Chi, National Central Library, Taiwan  
3) The good, the bad and the ugly. A case study of the implementation of e-thesis policy at SOAS, University of London  
- Lieu, Huei-Lan, Repository Support Officer, SOAS, University of London, UK  
- Clark, Beth, Head of Electronic Services, SOAS, University of London, UK |
| 12:30 – 14:00 | Lunch                                                                                      |
| 14:00 – 15:30 | 1) The ETD development and service of tertiary schools in Mainland China - a Peking University Library case  
- Wang, Yalin, Deputy Director/Librarian of Resource Development Department, Peking University Library, China  
- Zhang, Hongyang, Director/Research Librarian of Special Collection Department, Peking University Library, China  
2) The expanded usage of theses and dissertations - an exemplification  
- Li, Xin, Research Librarian, East China Normal University Library, China  
- Liu, Dan, East China Normal University Library, China  
- Yu, Yaxiu, East China Normal University Library, China  
- Zhang, Yi, East China Normal University Library, China  
- Chen, Zhiqing, East China Normal University Library, China  
3) The innovative practice in theses and dissertations development in Peking University Library  
- Zhang, Yiwen, System Librarian, Peking University Library, China |
<table>
<thead>
<tr>
<th>Time</th>
<th>Session B - Innovative Uses of ETDs I</th>
<th>Moderator: Scott Eldredge, Brigham Young University</th>
</tr>
</thead>
<tbody>
<tr>
<td>14:00 – 15:30</td>
<td>1) Opening cultural heritage in the age of OAI-PMH: finding Armenia in the OATD discovery service</td>
<td>• Greenberg, Charles J, Research Services Librarian, Yale University Cushing/Whitney Medical Library, USA</td>
</tr>
<tr>
<td></td>
<td>2) re3data.org—establishing a registry for research data repositories</td>
<td>• Schirmbacher, Peter, Director of Computer and Media Service, Humboldt-Universität zu Berlin, Germany</td>
</tr>
</tbody>
</table>
|            | 3) Moving from an IR to a CRIS (Current Research Information System) | • Palmer, David T, University of Hong Kong  
• Liu, Eliot, University of Hong Kong |
| 15:30 – 16:00 | Tea Break | |

<table>
<thead>
<tr>
<th>Time</th>
<th>Session A - Innovative Uses of ETDs II</th>
<th>Moderator: Esther Woo, The University of Hong Kong</th>
</tr>
</thead>
</table>
| 16:00 – 17:00 | 1) A study on theses and dissertations of Spanish major | • Feng, Xiangyun, Librarian, Peking University Library Resource Development Branch, China  
• Xu, Tong, Lecturer of The Department of Spanish and Portuguese Language and Literature, Peking University, China |
|            | 2) The adoption of lean thinking method in handling theses and dissertations | • Liu, Danyang, Department of Thesis and Dissertations staff, Peking University Library Resources Development Branch, China |
16:00 – 17:00

1) The influence of contextual factors on the adoption and development of Electronic Theses and Dissertations (ETD) programmes in the Arab Gulf States

• Alsalmi, Jamal, Lecturer, Sultan Qaboos University, Sultanate of Oman
• Liew, Chern Li, Victoria University of Wellington, New Zealand
• Chawner, Brenda, Victoria University of Wellington, New Zealand

2) The possibility of networked electronic theses in Japan

• Nakayama, Satoshi, Librarian of Institutional Repository Unit, University of Tsukuba, Japan
• Manaka, Takayuki, Chief of Institutional Repository Unit, University of Tsukuba, Japan
• Shuto, Makoto, Chief of Science Information Unit, Ministry of Education, Culture, Sports, Science and Technology, Japan
26th September 2013 (Thursday)

Parallel Session A - Innovative Uses of ETDs III
Moderator: Peter Schirmbacher, Humboldt-Universität zu Berlin

09:30 – 10:30
1) Analysis of linking patterns in ETDs: a case study at the University of North Texas
   • Phillips, Mark, Assistant Dean for Digital Libraries, University of North Texas, USA
   • Alemneh, Daniel, Digital Curation Librarian, University of North Texas, USA
   • Reyes, Brenda, Graduate Assistant of Web Archiving Team, University of North Texas, USA

2) Local data, international connections: enabling and integrating ETD repositories through linked data models
   • Higgins, Devin, Digital Library Programmer, Michigan State University Libraries, USA
   • Mak, Lucas, Metadata and Catalogue Librarian, Michigan State University Libraries, USA
   • Collie, Aaron, Digital Curation Librarian, Michigan State University Libraries, USA
   • Nicholson, Shawn, Assistant Director for Digital Information, Michigan State University Libraries, USA

Parallel Session B - Software & Technical Issues II
Moderator: Ruth Wong, The University of Hong Kong

09:30 – 10:30
1) ETDs using OSS-DL
   • Barve, Sunita, Senior Technical Officer, National Chemical Laboratory, India
   • Shewale, Nanaji, Librarian, Gokhale Institute of Politics & Economics, India
   • Madalli, Devika, Associate Professor, Documentation Research Training Centre, India
   • Prasad, ARD, Professor & Head, Documentation Research Training Centre, India

2) Fear and Loathing in Academia
   • Hagen, John, Renaissance Scholarly Communications / Board Member
     – NDLTD and USETDA

10:30 – 11:00
Tea Break

Parallel Session A - Success Stories V
Moderator: James MacDonald, American University of Sharjah

11:00 – 12:00
1) Using ETDs to forge an institutional culture of open access: experiences with Indian academic libraries with special reference to creation of JNU ETD archive
   • Gaur, Ramesh C, University Librarian, Jawaharlal Nehru University, India
   • Arora, Jagdish, Director, INFLIBNET, India

11:00 – 12:00
2) When your thesis meets Endnote and Turnitin @ HKU Libraries
   • Chan, Nancy, Business & Economics Faculty Librarian, The University of Hong Kong Libraries, Hong Kong SAR
   • Yiu, Antonia, Head of Information Services Division, The University of Hong Kong Libraries, Hong Kong SAR
   • Tsui, Diana, Architecture Faculty Librarian, The University of Hong Kong Libraries, Hong Kong SAR
11:00 – 12:00 1) ‘KRISHIKOSH’: an institutional repository of national agricultural research system of India
   • Veeranjaneyulu, K., University Librarian (Professor) & CCPI of e-Granth Project, Achray N G Agricultural University, India

2) Open access to scientific information: comparative study in DOAJ
   • Trencheva, Tereza Stoyanova, Chief Assistant Professor, State University of Library Studies and Information Technologies, Bulgaria
   • Todorova, Tania Yordanova, Associate Professor, State University of Library Studies and Information Technologies, Bulgaria

12:00 – 12:30 Closing Addresses
   • John Hagen, Renaissance Scholarly Communications
   • Peter Sidorko, University Librarian, The University of Hong Kong
   • David Palmer, ETD 2013 Organizing Chair, The University of Hong Kong
   ETD 2014 Presentation (University of Leicester)

12:30 – 13:30 Lunch

13:00 – 16:00 Library Tours
Library tours will be available for registration at the Conference
Bus for HKU Library will leave at approximately 1pm

Venue

<table>
<thead>
<tr>
<th>Exhibition Gallery</th>
<th>Session A</th>
<th>Lecture Theatre A</th>
<th>Session B</th>
<th>Activity Room B</th>
<th>Session C</th>
<th>Activity Room C</th>
</tr>
</thead>
</table>
Keynote Speakers

24th September 2013 (Tuesday) 11:00 – 12:00
Title: Transforming the Repository, the Collections, and the Library into a Users’ Open Innovation Lab

Xiaolin Zhang
Executive Director
National Science Library of Chinese Academy of Sciences

Dr. Xiaolin Zhang has been in the library and information field since 1984. With earlier experiences as an educator for teaching and research in information retrieval, library automation, and information management, he was also deeply involved in reform and development of library and information science educational programs to meet the challenges of the changing environment. In recent years he worked as a practitioner with a strong research background, pushing energetically for digital library development, digital library standards, networked collaborations, and knowledge-oriented information service models.

See http://www.las.ac.cn/zxl/index.htm for more details.

25th September 2013 (Wednesday) 09:30 – 10:30
Title: Knowledge Processes in The University of Hong Kong

Professor John Bacon-Shone
Associate Dean (Knowledge Exchange)
Faculty of Social Sciences, The University of Hong Kong

John Bacon-Shone is Associate Dean (Knowledge Exchange) of Social Sciences, Director of the Social Sciences Research Centre and Professor at The University of Hong Kong. Previously, he served two terms as elected Dean of Social Sciences and was a member of the Statistics Department in The University of Hong Kong. John was educated at the University of Birmingham (PhD), University College London (MSc) and the University of Durham (BSc). He was headhunted to be a full-time Member of the Central Policy Unit of the Hong Kong SAR Government from 1998 to 2001. His current research interests encompass a wide range of topics including compositional data, gambling, immigration and population policy, privacy policy and telephone survey methodology.

Transforming the Repository, the Collections, and the Library into a Users’ Open Innovation Lab

• Keynote Speaker: Xiaolin Zhang, Executive Director, National Science Library of Chinese Academy of Sciences

With the fast coming convergence of open access, openly computable knowledge, and open innovation, research libraries are moving from a collection library to a creation library, where open co-creation with users becomes a key service to support users’ solution-driven application and creation of knowledge.

Many open co-creation services have been attempted by libraries, from enhancing library services with crowdsourcing, to enabling user application development with open data and open tools, and to supporting user R&L processes with open resources and open infrastructure.

Fundamental changes are required to enable these services, from organizing open resources, to making in-house and open resources openly computable, and to establishing robust infrastructure to support open co-creation services.

A paradigmatic change is called for with many aspects of the library model. The library may be more a data-intensive, proactive, open and virtual innovation lab, by liberating digital resources into the hands of users as creative tools, and by working with users and embedded into users’ solution driven processes. Giving up the control of “our collections” to make them their tools, and changing the service structure and staff capabilities from the grocery store style of information provision to knowledge kitchens of co-creation, may be some of the most challenging in this transformation.

Parallel Session A - Success Stories I

Moderator: Li Hai Peng, Hong Kong Baptist University

1) Major ETD Initiatives in India
• Motebennur, Maltesh, Librarian, Rajiv Gandhi University, India

2) ETD on a shoestring–with an IR bonus
• Feuer, Gabor, Library IT manager, University of Ontario Institute of Technology, Canada

This paper describes how, with minimal budget, lots of goodwill, and successful collaboration, the University of Ontario Institute of Technology (UOIT) - at the time Ontario’s newest university, could rapidly build an ETD collection, and an Institutional Repository shortly after.

The project was sponsored by the UOIT Library. DSpace was selected as the software platform. The paper describes the collaboration between the library, the Faculty of Graduate Studies and the campus Information Technology department which resulted in the successful launch of the ETD program, Ontario's first example of establishing a born digital theses program and publishing platform. The entire project was completed in eight months.

In the second phase, the UOIT collaborated in two pilot projects with ProQuestTM and the National Library of Canada respectively. This eventually resulted in the full integration of the UOIT ETD content in the PQDT database and in the Theses Canada collection - the national database of theses maintained by the Library and Archives Canada. The details of these projects are described.

The success of the ETD project was leveraged to expand the IR to create a number of e-publishing and archival initiatives, ranging from faculty sponsored research, to student newspapers, and class projects. This process, along with the challenges of maintaining momentum, and other lessons learned are discussed.
Parallel Session B - National Initiatives I
Moderator: Yiu Chuen Wan, The University of Hong Kong

1) An overview of some ETD repositories in Brazil
• Pavani, Ana, Member of IEEE, Pontifícia Universidade Católica do Rio de Janeiro, Brazil

BDTD – Biblioteca Digital de Teseses Dissertações (http://bdtd.ibict.br/) is the Brazilian National Consortium of ETDs. It was created in 2001 and the first union catalog fed by OAI-PMH metadata harvesting was deployed in Dec 2002. All participating institutions must be OAI-PMH data providers.

Currently, there are 97 cooperating institutions and over 217K metadata records. Though the number of institutions is quite large, 10 institutions account for over 65% of the records. In this set, the institution with the least number of records has a little under 5K. The 10 institutions with the least number of records account for approximately 0.2% of the total number.

It is easily seen that there are differences in the sizes of ETD repositories of cooperating institutions. But size is not the only difference; the nature of the collections, the history and the forecasted evolution also show how varied they are. This work addresses the analysis of the ETD repositories of the 10 institutions with the largest ETD collections. It examines the following aspects of the repositories:
• ETDs or ETDs + other types of contents
• Technological platforms
• Other repositories at the institution and their technological platforms
• The way the repository started – ETDs, other types of contents or ETDs + other types of contents
• Concern with integration in the international scenario
• The plans for the ETD repository in the future
• Public/restricted availability of repository statistics
• Quality of metadata
• Digital preservation

To gather information on each aspect, two methods are used. The first is visiting the repository websites and the second is surveying them by sending a questionnaire for the repository managers to answer. The objective is to identify types of solutions that these universities are using to make their digital contents available on the Internet and also to understand how they plan to do this in the near future.

A quick view of the websites allowed the identification of some characteristics – three institutions have one IR for all digital contents, other institutions have only ETD IRs, some have one for ETDs and one for other digital contents, and one has two repositories one with ETDs and the second for other digital contents and ETDs.

The results from the survey will help understand each specific situation and to know the planned evolution for making public scholarly communication.

2) Analytical study of the ETD repositories and government initiatives for depositing ETDs in India
• Gupta, Dinesh K., Chairman of Department of Library and Information Science, Kurukshetra University, India
• Gupta, Neerja, Kurukshetra University, India

In the western countries depositing ETDs became mandatory since the late 20th century, however, the south-east Asian countries like India are as yet in the process of adopting and issuing recommendations for submission of ETDs. Though in India UGC and other Govt. bodies have issued guidelines for ETD submission and UGC has made it mandatory for all the Universities to deposit a copy of the thesis submitted, in the National ETD repository i.e. SHODHGANGA, yet the universities do not seem very serious about this issue so far. The article aims to critically analyses the ETD repository creation efforts by various institutions in India and also analyses whether the efforts and initiatives taken by various govt. bodies in India to issue guidelines regarding depositing ETDs are sufficient to implement the ETD submission nationwide.
ETD collections are considered to be important collections of unique materials from an institution. Only a few published articles address costs of titles in repositories. For example, the well-known arXiv.org listed per article costs as $9 in 2006, $6 in 2009, and $8 in 2012; while the French HAL archive states per article costs as $6.5 in 2008. In addition, few articles discuss the cost analysis for ETD collections. The literature reflects costs of collecting, preserving, and providing access to ETD collections at the University of Arizona Libraries. Since 2005, the University of Arizona Libraries have been providing open access to born-digital theses and dissertations. The author examines the total cost of ownership, which includes collecting, archiving and providing access to ETD collections at the University of Arizona Libraries. The approaches to providing access, including depositing into repository and generating metadata for library catalog, have changed over time with new tools and technologies. This article explains the changes in workflow and system, which lead to cost savings over the years.

More and more universities are interested in providing open access to electronic dissertations and theses produced by their students. What roles can libraries play in this movement? This presentation will discuss some innovative practices and services for managing ETDs implemented by libraries in the United States, such as using LibGuide to provide information on open access, creating linked data services for ETDs, assigning an International Standard Name Identifiers to ETD authors, etc. The author will ask some questions at the end of the presentation to engage the audience to share their experience and thoughts on opportunities and challenges libraries may have in promoting and sustaining the effort of providing open access to ETD’s.

In the Open Access, e-publishing and e-research environment it is necessary to share and publish output of research immediately with larger audience to avoid duplication of work and reinventing the wheels. The attempts have been made for having database of theses and dissertations in many countries. To support this activity few countries has made it mandatory to researchers to submit their work in electronic form and in universal language i.e. English. Attempts are being made to digitize the previously submitted theses and dissertations in many countries. In India Vidyanidhi, Inflibnet, DELNET, Shodhganga, etd@IISC and many more are managing eTheses repositories. Presently Greenstone, Dspace, Eprint, Drupal, ABCD and other open source digital library and Content management softwares are widely used all over the world for managing electronic resources. This paper discusses on how eTheses and eDissertation full text or abstract databases with international standard format can be created using ABCD Open Source Software of UNESCO and BIREME, Brazil and how to give partial or full text access to eTheses and eDissertation through ABCD site. It also gives the examples of users of this software from different parts of countries for this purpose.
2) **Batch import of metadata and corresponding bit-streams in Dspace**

- Shewale, Nanaji, Librarian, Gokhale Institute of Politics and Economics, India
- Barve, Sunita, Senior Technical Officer, National Chemical Laboratory, India
- Prasad, ARD, Professor and Head, Documentation Research and Training Centre, India
- Madalli, Devika P., Associate Professor, Documentation Research and Training Centre, India

DSpace is one of the open source software widely used for building institutional repositories across the world. According to DOAR1 and ROAR2, DSpace has largest number of installations. While uploading records in DSpace it is a challenging task to upload individual record into DSpace along with its metadata and bit-stream. As per the known procedures, either one can upload one record at a time or bulk upload only metadata without any support of uploading bit-stream associated with each record. We present here an additional feature that facilitates bulk imports. We share our experience especially regarding issues of metadata and discuss some of the precautionary measures and tips that are necessary while preparing the DC metadata. We describe a methodology to import bulk DC metadata along with its bit-streams, URIs or the URL of the documents in respective directories as required by DSpace. Most of the digital repositories, especially in the Indian context, are administered by library professionals who may not have in-depth knowledge of technology. We hope that the facility and methodology described here will assist repository managers to upload their digital documents metadata along with corresponding digital files into DSpace repository.

24th September 2013 (Tuesday) 16:00-17:00

**Parallel Session A - ETD Life Cycle II**

*Moderator: Charles Greenberg, Yale University*

1) **Policy shift and repository infrastructure.**

*The road to ETD only collection at the University of Sydney*

- Christensen, Sten, Sydney eScholarship Repository Coordinator, University of Sydney Library, Australia

If one looks at the content archived in institutional repositories across the world, one would find that the EDT’s make up a significant and important portion of that content. However, even with the benefits of open access and the pressure of mandates, building and growing such collections can be challenging. To effectively build and maintain an EDT collection requires not only a mandate but a significant policy shifts across the organisation. The University of Sydney has made very significant changes to its Higher Degree Research Rule in 2011 effectively allowing the Library to change the way it collects, stores, manages and disseminates theses. As of 2013 the University of Sydney Library will quickly phase out the collection bound paper based theses making EDTs the only accepted format for dissemination and archival purposes whether be open access or local access only. This paper will detail the challenges around the implementation of such a policy, the impact that the institutional repository has had, through successfully managing voluntary EDT submission, in driving such a policy shift across the institution. It will discuss the support infrastructure that was already available to make such a policy change easily work, the role of the institutional repository and the changes in internal processes that were essential to meet the policy shift and successfully move to an EDT only environment.
ETDs have many benefits, from environmental-friendliness to reducing administration overhead to enabling easy deposit in local IRs and ProQuest’s internationally-recognized archive, but what can they teach us in aggregate? The vast collection of ETD-sourced metadata available in the ProQuest Dissertations & Theses (PQDT) electronic resource allows users to observe dissertation trends over time and draw important conclusions about areas of focus and scholarly impact. This session will present an overview of PQDT and a statistical analysis of the information that has been gleaned from trends in PQDT metadata and searches. Researchers from Indiana University, University of Toronto and Kansas State University, among others, have used PQDT as the basis of research analyses. An overview of recent and in-progress studies among university researchers will be included. Session attendees will be able to return to their campuses with fresh insight on emerging research trends.

Parallel Session B - Success Stories II

Moderator: Lisa Kwan, The Hong Kong Polytechnic University

1) Open access to electronic theses at CUHK: the roadmap and the way beyond
• Lam, Louisa, Senior Sub-Librarian & Head of Information Technology and Planning, Chinese University of Hong Kong, Hong Kong SAR

Amongst JULAC libraries, CUHK is rather slow in the adoption of open access ideal and thus far the CUHK Scholarly Information Repository (CUHK SIR), the institutional repository of CUHK University Library System (ULS), has its form only; full-text is still lacking. Facilitating open access to scholarly publications has always been the mission of ULS and the library administrators are always on the look for the right strategy to be deployed at the right time. Recognizing that theses and dissertations are important record of research, ULS decided to grasp the opportunity to work with the Graduate School to fully digitize and make publicly accessible all the printed Masters and Ph.D. theses of CUHK dating back to 1967. This paper will give an overview of the experience of CUHK ULS in establishing an e-theses database in an open access environment that will be launched in 2013. It includes the origin of the move, the design of the database which comprises over 10,000 volumes of theses, its integration with the CUHK SIR, the services to be provided by the database and the various challenges it encountered to bring the whole concept to fruition. Finally, the paper will delineate the future plan of development of the database to add values to the deposit and dissemination of ETDs.

2) Picking up the pieces: a retro ETD project at Utah State University
• Clement, Richard W., Dean of Libraries and Professor of History, Utah State University, USA

Inspired by the many accomplishments of our NDLTD colleagues, the Merrill-Cazier Library decided that our current and very successful ETD program (comprising 1800 titles) was not sufficient and that we needed to go back and digitize and make available the previous 100 years of theses and dissertations produced at Utah State University. We recognized that all our theses and dissertations should be made available on our IR, DigitalCommons @ USU. That realization, and reallocation of resources, was the easy part. Our first difficulty was to identify all the previous theses and dissertation and create a registry. From the online catalog we were able to identify about 14,000 items, but rumor had it that pockets of theses existed deep in the bowels of the university archives. These have yet to be identified. For many of the items we located, we were able to find a second copy that could be disbound and run through a high-speed sheet-fed scanner. But even the process for disbinding copies was fraught with complications of using an antique guillotine in Special Collections. The technicalities of digitization and metadata creation were relatively straightforward, unlike the copyright issues which we are still grappling with. We are now in full production concentrating on the pre-1978 corpus. We have a number of lessons learned to share and also questions to pose.
Paper Abstracts: 25 September, 2013 (Wednesday)

25th September 2013 (Wednesday) 09:30 – 10:30

Knowledge Processes in The University of Hong Kong

- Keynote Speaker: John Bacon-Shone, Associate Dean (Knowledge Exchange)
  Faculty of Social Sciences, The University of Hong Kong

Discusses the traditional role of a university and what the role should be, in terms of knowledge processes.
Introduces the Hong Kong initiative on Knowledge Exchange (KE).
Explains The HKU KE processes and performance indicators.
Discusses the lessons learned from the initiative and examines possible future changes.

25th September 2013 (Wednesday) 11:00 – 12:30

Parallel Session A - National Initiatives II

Moderator: Steve Ching, City University of Hong Kong

1) 高校學位論文全文獲取服務環境建設現狀與展望
   CALIS full text service - actuality and expectation
   - 曾麗軍, 副研究館員 , CALIS管理中心, 中國
     Zeng, Lijun, Deputy Research Librarian, CALIS Management Centre, China
   - 孫維蓮, 清華大學圖書館, 中國
     Sun, Weilian, Tsinghua University Library, China

經過中國高等教育保障系統（CALIS）項目十多年的建設，推動了高校學位論文數據建設與服務的發展，打造了學位論文服務中心服務系統，面向全國高校師生提供中外文學位論文檢索和獲取服務。

本文首先從資源、系統、知識產權、服務政策等方面，介紹高校學位論文全文獲取服務環境建設現狀。高校學位論文數據已經收集了中外學位論文400多萬篇，建立了學位論文服務中心服務系統，檢索功能便捷靈活，為高校讀者通過網絡共享學位論文信息提供途徑和保障；依托CALIS的文獻獲取服務環境，開展了有組織的全國範圍內高校學位論文全文服務，以此為契機，梳理學位論文知識版權政策及全文服務政策，為館際互借及網上全文服務奠定基礎，已經初見成效。

針對全文服務中出現的知識產權、服務政策、管理方式、用戶行為等方面的問題進行調研分析，提出可能的解決方案，並對高校學位論文全文服務未來的發展進行了展望。

2) CALIS高校學位論文服務體系的建設與發展
   The establishment and development of CALIS theses and dissertations service system
   - 趙陽, 副研究館員 , 清華大學圖書館, 中國
     Zhao, Yang, Deputy Research Librarian, Tsinghua University Library, China
   - 姜愛蓉, 副館長/研究館員, 清華大學圖書館, 中國
     Jiang, Airong, Deputy Librarian/Research Librarian, Tsinghua University Library, China

CALIS高校學位論文服務體系由清華大學牽頭，聯合中國近百所211大學圖書館建設，旨在為用戶提供集中式元數據檢索和多途徑全文獲取的兩級學位論文信息保障服務。經過十余年的發展，已形成學位論文共建共享服務聯盟，並建立了提供中外文學位論文信息和服務的綜合門戶。本文概述CALIS學位論文服務體系的發展歷程，介紹採用新技術建立高效的數據收割機制和中心門戶服務平臺；通過多種途徑廣泛收集國內外學位論文信息和增加數據量的現狀；近百所大學建立本校學位論文收集系統並提供校園範圍內全文瀏覽的應用效果；以及在共建共享服務聯盟內通過CALIS文獻傳遞系統提供全文獲取保障的探索。本文最後總結系統建設中存在的問題並對未來發展進行展望。
Parallel Session B - ETD Life Cycle III  
*Moderator: Steve O'Connor, Information Exponentials*

1) **Open source ETD submission software: an update on Jarrow ETD**
- Bakker, Caitlin, interim Digital Initiatives Librarian, University of Northern British Columbia Library, Canada
- Hvezda, Peter, Web Developer, University of Northern British Columbia Library, Canada
- Yule, Daniel, Ph.D. candidate in computer science, Dalhousie University, Canada
- MacDonald, James R.W., Web Services Librarian, American University of Sharjah, United Arab Emirates

The article “Jarrow: Electronic Theses and Dissertation Software,” published in issue 18 of the Code4Lib Journal, introduced a new open-source ETD submission software module called Jarrow. Now known as the Weller ETD Project, this software was designed to integrate with Islandora, an institutional repository solution built on top of Fedora and Drupal. This presentation outlines the design and goals of the software and discusses the continued development of the Weller ETD Project since the Code4Lib publication. Future plans for the Weller ETD Project are discussed, along with how this project can be adapted for use at other academic institutions.

Mr. James R.W. MacDonald is the Web Services Librarian at the American University of Sharjah. James is currently on leave from the position of Digital Initiatives Librarian at the University of Northern British Columbia while he explores opportunities at AUS.

Mr. Daniel Yule is a Ph.D. candidate in Computer Science at Dalhousie University. Daniel was the initial Web Developer involved in the Weller ETD Project.

2) **Creating order out of chaos: introducing name authority control into a large ETD collection**
- Waugh, Laura, Scholarly Works Repository Librarian, University of North Texas, USA
- Tarver, Hannah, Department Head of Digital Projects Unit, University of North Texas, USA
- Phillips, Mark, Assistant Dean for Digital Libraries, University of North Texas, USA

The University of North Texas (UNT) Libraries Digital Projects Unit (DPU) is responsible for the long-term stewardship of scanned analog and born-digital electronic theses and dissertations (ETDs) written by UNT master's and doctoral students. The DPU maintains a repository system to store archival copies of items and provide item-level access through the UNT Digital Library. Metadata creators have entered names for authors and committee members verbatim from student-supplied title pages and information forms; however, variations of personal names differ widely among the texts resulting in multiple versions of names in the system. To improve findability related to these persons, the DPU introduced a novel workflow utilizing metadata harvesting, name clustering and a Web-enabled system to normalize the names and integrate name authority control into the institutional repository system. This paper provides a review of the relevant literature, describes the challenges associated with controlling names for digital collections such as ETDs, introduces the workflow at the UNT Libraries to impose name authority on these items, and provides a discussion about how other institutions might adapt and use the same tools and processes.

3) **Doctoral theses’ research data and metadata documentation**
- Kindling, Maxi, Lecturer, Research Assistant & PhD candidate, Humboldt-Universität zu Berlin, Germany

It will be a common requirement for Doctoral theses to prove the published results by additionally archiving and providing the digital research data it is based on. The best ways to do so is to deposit additional publication material in institutional research data repositories of the respective universities and / or disciplinary research data repositories.
This assumption follows the approach that especially theses supporting and validating materials can have a critical impact on (young) professionals’ careers by means of reputation and trustworthiness of the professionals’ scientific ambitions. However, a sound deposit of digital research data requires quality management and even more important than for the publication itself an adequate metadata documentation.

The proposed presentation gives an overview on existing international activities such as deposit guidelines for doctoral theses’ research data. It will focus on publishing and archiving of e.g. theses’ research data at German universities. The deposit of doctoral theses in the repository “edoc” at Humboldt-Universität zu Berlin1 and a prototype of publishing the attached research data will be presented. In addition the presented work is based on a survey on research data at Humboldt-Universität zu Berlin and the author’s thesis project on functionalities of and requirements concerning metadata and documentation of research data. The latter topic deals with the essential research issue: What kind of metadata and research data documentation do universities need for the deposit of doctoral theses?

Parallel Session C - Success Stories III
Moderator: Louise Jones, Chinese University of Hong Kong

1) Campus partnerships advance both ETD implementation and Institutional Repository development: A Win-Win strategy at Syracuse University
- Li, Yuan, Scholarly Communication Librarian, Syracuse University, USA

2) Implementing a multi-tiered framework for building NDLTD-Taiwan
- Chiang, Chia-Ning, Editor, National Central Library, Taiwan
- Wang, Hung-Te, National Central Library, Taiwan
- Lin, An-Chi, National Central Library, Taiwan

National Digital Library of Theses and Dissertations in Taiwan (NDLTD-Taiwan) serves as a national union catalogue and as a national repository of electronic analogues of traditional paper-based theses and dissertations. To achieve the collaboration and cooperation with universities and colleges in Taiwan, a multi-tiered framework in deposits and services is utilized. The multi-tiered design is not only to accommodate the graduation procedures, but also to support bibliographic control and collections building for the institutional needs.

The collaboration mechanism in depositing is achieved through the development of hosting services. On the hosting platform, a two-tiered feature is built for the thesis-submission process controlled by university or college libraries, whereas a three-tiered feature is built for the process controlled by the graduate schools or departments. The system provides individual institutions the control of work flows in data entry, submission, copyright permission, depositing, receipt acknowledgement, monitoring, and creating individual analytics. After completing data entry, the system allows the institutional users to output their bibliographic records for importing into the library systems. For the needs to batch upload data into institutional repositories, the system packages the metadata together with the submitted PDF files and their embargo statuses. Some universities and colleges do not use the hosting services; hence, the cooperation is established by harvesting protocols and batch conversions with those homogeneous and heterogeneous systems (e.g., institutional repositories). For increased exposure of local graduate works to the world, the NCL makes the system’s metadata available to NDLTD Union Archive and Google to attract more download and citation counts.

3) The good, the bad and the ugly.
A case study of the implementation of e-thesis policy at SOAS, University of London
- Lieu, Huei-Lan, Repository Support Officer, SOAS, University of London, UK
- Clark, Beth, Head of Electronic Services, SOAS, University of London, UK

The School of Oriental and African Studies (SOAS) implemented its electronic thesis submission policy in October 2010. The drivers behind the implementation came from two directions: a change in the examination
procedure meant only one hard copy instead of two was required for submission; our three-year contribution to fund digitisation of SOAS theses via EThOS was coming to an end. In order to secure the success of implementing the e-submission policy, a business case and work plan was presented to the Pro-Director for Research and support from senior management was re-assured. The work plan included policy research of other UK institutions, stakeholder consultations, online survey and results analysis, policy and procedures finalisation, repository configuration. It was expected to take effect from October 2010. However, just as we thought the move to electronic submission was welcomed by the majority, some students and staff started to voice their opposition to the policy. Faced with an online petition and calls for negotiations, the implementation was delayed by a year and students were allowed to opt out of deposition in the institutional repository as they wish. The aim of this poster is to share our less than perfect experience and lessons learned with those who considering a similar approach.

25th September 2013 (Wednesday) 14:00-15:30
Parallel Session A - Success Stories V  
Moderator: Jiang Airong, Tsinghua University

1) 大陸地區高校電子學位論文建設和服務—以北京大學圖書館為例
The ETD development and service of tertiary schools in Mainland China  
a Peking University Library case
- 王亞林, 資源建設部副主任館員, 北京大學圖書館, 中國
Wang, Yalin, Deputy Director/Librarian of Resource Development Department, Peking University Library, China
- 張紅揚, 特藏部主任研究館員, 北京大學圖書館, 中國
Zhang, Hongyang, Director/Research Librarian of Special Collection Department, Peking University Library, China

大陸地區高校中，北京大學圖書館的電子學位論文建設和服務工作不僅開展較早，到如今已逾12個年頭；而且它的發展過程也頗具的典型意義。論文回顧和總結了初創階段和發展階段並展望未來趨勢；從工作流程、標準規範、開放存取和版權等方面討論政策和技術問題，從開館時間、電子論文訪問和印本閱覽討論其服務和讀者需求狀況，從電子論文和印本及手稿之間的關係、電子論文在高校文庫、機構知識庫、特藏和校史中的獨特地位等方面探討了其多層次豐富的人文價值。作者認為，大陸地區高校的電子學位論文建設和服務已經趨向成熟，如何揭示其多層次的使用價值和人文價值是電子學位論文未來工作的發展方向。

2) 學位論文拓展服務應用實例
The expanded usage of theses and dissertations - an exemplificatione
- 李欣, 研究館員, 華東師範大學圖書館, 中國
Li, Xin, Research Librarian, East China Normal University Library, China
- 劉丹, 華東師範大學圖書館, 中國
Liu, Dan, East China Normal University Library, China
- 于亞秀, 華東師範大學圖書館, 中國
Yu, Yaxiu, East China Normal University Library, China
- 張毅, 華東師範大學圖書館, 中國
Zhang, Yi, East China Normal University Library, China
- 陳枝清, 華東師範大學圖書館, 中國
Chen, Zhiqing, East China Normal University Library, China

通過基於學位論文數據庫開發元數據OPEN API，實現有效的嵌入式應用，改變學位論文僅僅作為學
The innovative practice in theses and dissertations development in Peking University Library

Zhang, Yiwen, System Librarian, Peking University Library, China

Parallel Session B - Innovative Uses of ETDs I

Moderator: Scott Eldredge, Brigham Young University

1) Opening cultural heritage in the age of OAI-PMH: finding Armenia in the OATD discovery service

Greenberg, Charles J, Research Services Librarian, Yale University Cushing/Whitney Medical Library, USA

Background and Objective: Cultural heritage includes tangible, intangible, and natural legacies. ETDs in institutional repositories are contemporary cultural artifacts that can be electronically aggregated into searching and discovery platforms like the recently launched Open Access Theses and Dissertations (OATD) discovery service, using the OAI-PMH protocol to link users directly to an accessible digital artifact. OATD distinguishes itself from other ETD databases by providing immediate access to theses that are freely available online, a welcome tool for students and scholars that depend on immediate open knowledge. The Republic of Armenia is a small geographical area in Central Asia with a population of only 3 million, yet an estimated total of 5-7 million people of Armenian ancestry live outside of Armenia. What knowledge of Armenian cultural heritage can be discovered by searching OATD for open access theses that relate to Armenian history and culture? What kind of national or cultural portrait would emerge from open ETD scholarship?

Methods: The OATD database was searched for the terms Armenia or Armenian. Discovered thesis records were exported into citation management tools and analysed for subject content, year of publication, and institutional repository source.

Results: Using the OATD search portal in July of 2013, 220 masters theses or doctoral dissertations were identified with the keyword alternatives. OATD provides immediate sharing export of individual item metadata to one citation management tool (Mendeley). All exported records received a second export to the desktop application version of Mendeley and exported as a tagged citation file, then re-imported into the Refworks citation management tool for analysis and data, such as Library of Congress subject category, language, country of publication, and a determination of whether Armenia was a primary (focus) theme or secondary (tangential). Access to theses was also tested to verify their open access status, and records were withdrawn from study when a link led to institutional-only or embargoed theses. In addition, theses retrieved because they referred either to an author with the name Armenia or focused on a Columbian city of Armenia were withdrawn. The remaining thesis records (n=152) were exported into an Excel spread sheet for numerical analysis and graphic production. The OATD sponsors were alerted to examples of retrieval that upon testing was not open access.
**Conclusions:** Reliance on a keyword-built query for terminology brought in a small proportion of irrelevant citations. Of greater concern was the large proportion of retrieved citations where the thesis document was actually embargoed or limited to institutional access. From the records getting enhanced metadata (n=152), slightly more (52%) were Master’s theses. Nearly two-thirds (63%) used the Republic and culture of Armenia as a primary theme. English was the predominant author language (85%) with Portuguese and French represented less than 5%. World history and social sciences research were the most represented subjects, those theses in 16 broad subject classifications were noted. Most open access theses on Armenia or Armenian culture date from after 2000, reflecting the growth of interest in Armenia and the growth of institutional repositories and open access theses theses. All enhanced records, along with their abstracts and direct links, are available in a searchable RefWorks shared folder: [http://tinyurl.com/etd213Armenia](http://tinyurl.com/etd213Armenia)

2) **re3data.org—establishing a registry for research data repositories**

- Schirmbacher, Peter, Director of Computer and Media Service, Humboldt-Universität zu Berlin, Germany

Research data are valuable and ubiquitous. The permanent access to research data is a challenge for all stakeholders in the scientific community. The long-term preservation and the principle of open access to research data offer broad opportunities for the scientific community. During the ETD conference 2012 I spoke about “Research Data as Basics and Results of ETD’s”. In the meantime more and more universities and research centres are starting to build research data repositories allowing permanent access to data sets in a trustworthy environment. Due to disciplinary requirements, the landscape of data repositories is rather heterogeneous. Thus it is difficult for researchers, doctoral candidates, funding bodies, publishers and scholarly institutions to select appropriate repositories for archiving or retrieving research data. The goal of project re3data.org is to create a global registry of research data repositories. The registry will cover research data repositories from different academic disciplines. re3data.org will be a source of information on the permanent storage and access of data sets. In the course of this mission re3data.org aims to promote a culture of sharing, increased access and better visibility of research data. The alpha version of the registry has been online since December 2012. The launch of version 1.0 is planned for May 2013. Metadata which has been entered from existing sources will be reviewed and complemented if necessary. During the conference presentation I intend to demonstrate the registry and why it could be useful for the ETD community too.

3) **Moving from an IR to a CRIS (Current Research Information System)**

- Palmer, David T, University of Hong Kong
- Liu, Eliot, University of Hong Kong

从学术典藏库(IR)到当前科研信息系统(CRIS)
Parallel Session A - Innovative Uses of ETDs II

Moderator: Esther Woo, The University of Hong Kong

1) 西班牙語專業學位論文調查研究
   A study on theses and dissertations of Spanish major
   - 馮項雲, 圖書館員, 北京大學圖書館資源建設部, 中國
     Feng, Xiangyun, Librarian, Peking University Library Resource Development Branch, China
   - 許彤, 講師, 北京大學外國語學院西葡語系, 中國
     Xu, Tong, Lecturer of The Department of Spanish and Portugese Language and Literature, Peking University, China

西班牙語是建國後新設大學本科專業，其學科建設與發展具有鮮明的時代特征。本論文利用文獻計量學方法，結合文本解讀和史料分析，以圖書館引入電子學位論文資源為時間節點，通過對西班牙語專業學位論文中參考文獻的使用情況做出對比分析，得出學科的研究熱點變遷和主題分布，以及研究方法和理論使用情況。在此基礎上，本文探討學科發展規律和存在的問題，進而為學科自身發展、以及圖書館藏規劃、組織和服務方面提出針對性的建議。

2) 結合精益思想的學位論文工作改進
   The adoption of Lean Thinking method in handling theses and dissertations
   - 劉丹陽, 學位論文辦公室職員, 北京大學圖書館資源建設部, 中國
     Liu, Danyang, Department of Thesis and Dissertations staff, Peking University Library Resources Development Branch, China

精益思想不僅為制造業注入新的管理思維，精益方法也被擴大應用到各個領域和組織活動的各個方面。本文將精益思想引入到學位論文工作中，以精益的視角審視學位論文工作中的機構、流程、制度、服務等傳統問題，尋求一種學位論文工作的新理念和新工作方式，旨在消滅浪費，創造價值，以實現學位論文工作的優化和良性循環，並在實際工作中，進行初步的試探性的實踐，取得了令筆者滿意的成果。

Parallel Session B - National Initiatives III

Moderator: Ana Pavani, Pontifical Catholic University of Rio de Janeiro

1) The influence of contextual factors on the adoption and development of Electronic Theses and Dissertations (ETD) programmes in the Arab Gulf States
   - Alsalmi, Jamal, Lecturer, Sultan Qaboos University, Sultanate of Oman
   - Liew, Chern Li, Victoria University of Wellington, New Zealand
   - Chawner, Brenda, Victoria University of Wellington, New Zealand

Objective: This paper presents the findings from a research that explores the influence of contextual factors on the adoption and development of ETD programs in the Arab Gulf States.

Method: Semi-structured interviews were conducted with representatives of five groups of stakeholders with an interest in the implementation of ETD programmes. The groups were postgraduate students, academic staff, library managers, system administrators, and postgraduate officers from five Gulf States universities. In addition, an online survey was conducted with three hundred and nine participants in order to test and explore, in a larger sample, the issues identified in the interviews.
Results: Research participants identified three levels of factors; contextual, institutional, and personal. In addition, they highlighted that contextual factors have an influence on institutional factors. These contextual factors include misunderstanding of plagiarism, strong economy, recency of research programmes, and younger societies. For example, due to the recency of postgraduate programmes in the Arab Gulf States, some of the theses and dissertations are low in quality and quantity. Since the Gulf societies are quite young they are more likely to adopt new technologies. The Arab Gulf States have strong economies and this helped to provide the necessary technological infrastructure needed for adopting ETD programmes. In addition, people at these states appear to have a weak understanding of plagiarism issues and thus they have more concerns about these issues.

2) The possibility of networked electronic theses in Japan

- Nakayama, Satoshi, Librarian of Institutional Repository Unit, University of Tsukuba, Japan
- Manaka, Takayuki, Chief of Institutional Repository Unit, University of Tsukuba, Japan
- Shuto, Makoto, Chief of Science Information Unit, Ministry of Education, Culture, Sports, Science and Technology, Japan

The Ministry of Education, Culture, Sports, Science and Technology (MEXT) made an amendment to the Degree Regulations to keep with recent global development in digitization and Open Access policy for scholarly information. Basically, the amendment requires all the doctoral theses granted in Japan to be made publicly available on the Internet.

In response to this amendment, National Diet Library (NDL), National Institute of Informatics (NII) and Japanese Coordinating Committee for University Libraries (JCCUL) cooperatively revised the national metadata standard (junii2) for description of doctoral theses. In addition, they worked out announcements describing points to be considered upon implementation of digitization and Open Access to doctoral theses and distributed them to universities, starting nationwide support with process of Open Access movement by institutional repository. This proposal aims to present various aspects in the construction of networked electronic theses and dissertations and Open Access movement in Japan through cooperation among the community of university libraries.

*NII http://www.nii.ac.jp/irp/archive/system/junii2.html (only in Japanese)
Paper Abstracts: 26 September, 2013 (Thursday)

26th September 2013 (Thursday) 09:30-10:30

Parallel Session A - Innovative Uses of ETDs III
Moderator: Peter Schirmbacher, Humboldt-Universität zu Berlin

1) Analysis of linking patterns in ETDs: a case study at the University of North Texas
- Phillips, Mark, Assistant Dean for Digital Libraries, University of North Texas, USA
- Alemneh, Daniel, Digital Curation Librarian, University of North Texas, USA
- Reyes, Brenda, Graduate Assistant of Web Archiving Team, University of North Texas, USA

The University of North Texas (UNT) became an early adopter of ETDs when it required submissions to be made electronically in 1999, the UNT Libraries acts as stewards for this content and over the past twelve years has preserved and provided access to over 4,100 documents via the UNT Digital Library. During this time, large portions of scholarly publishing and research have transitioned to the Web resulting in a shift in the topics, user groups, research and citation patterns in these documents. This paper attempts at quantifying this shift with an analysis of the linking patterns in the 4,100+ documents in the UNT ETD collection, which acts as a case study for this analysis. The UNT Libraries carried out this research to better understand what effect has this shift to the Web had on the use of Web resources as the research focus, or primary citation target of theses and dissertations. In order to answer this question, the authors analyzed the scope of referencing Web resources, how they differ between disciplines, and how the scope has changed over the past twelve years at UNT. This research proposes a set of next steps for institutions responsible for managing these documents, and can be logically extended to the area of faculty publishing in institutional repositories.

2) Local data, international connections: enabling and integrating ETD repositories through linked data models
- Higgins, Devin, Digital Library Programmer, Michigan State University Libraries, USA
- Mak, Lucas, Metadata and Catalog Librarian, Michigan State University Libraries, USA
- Collie, Aaron, Digital Curation Librarian, Michigan State University Libraries, USA
- Nicholson, Shawn, Assistant Director for Digital Information, Michigan State University Libraries, USA

Linked data is a disruptive technology that is moving libraries beyond the library-centric context of MARC based metadata. Michigan State University Libraries are exploring and developing methods to compose a Linked Data model that uses ETD data to describe the oft- opaque relationships between departments, faculty members, themes and dissertation authors. The results shall reveal connections that exist within the MSU community, but may also hold the potential to link into wider networks of institutional scholarly collaboration, as other institutions build similar data models. Recent movements toward establishing unique identifiers for author/creator provide rich additional data points from which to build linked data models that extend between institutions on a national or international level.

The paper will illuminate the technical methods used to capture and integrate metadata from several sources and aims to show how this transformation promotes sharing. It is hoped that by discussing the methods employed and project failures and successes, we contribute to the ongoing discussion of linked data plus ETDs role in the overall research landscape and open scholarly communications.
1) ETDs using OSS-DL

- Barve, Sunita, Senior Technical Officer, National Chemical Laboratory, India
- Shewale, Nanaji, Librarian, Gokhale Institute of Politics & Economics, India
- Madalli, Devika, Associate Professor, Documentation Research Training Centre, India
- Prasad, ARD, Professor & Head, Documentation Research Training Centre, India

Across the world several Electronic Thesis and Dissertation (ETD) repositories are available either on Internet or on Intranet using Commercial or Open Source Software for building Digital Libraries (OSS-DL). In developing countries large number of ETDs are running either using DSpace or EPrints software. There are few more OSS-DL available which allow creation of ETD repositories and offer intuitive features from students point of view while submitting ETD. We present here a test bed environment created where a few OSS-DL are installed and sample collection of few theses is uploaded on each software. We present a comparative statement based on the work flows for submission and technical issues related to ETD. We also compare the organization and preservation aspect provided in each software for maintaining ETD. The selected software are mainly CDSInvenio, DSpace, DoKS, EPrints, FEDORA, Greenstone, MyCoRe, SOPS, etc. ETD submission requires management of different submission policies as well as it demands student oriented submission options. It is also necessary that repository software should offer additional features that would attract students to upload their ETD such as facility for uploading their CV, publication details as well as any other projects handled by student which may give them a platform for their future career. In the present paper we present our critical analysis of various features which are available in these software especially from the ETD submission point of view.

2) Fear and Loathing in Academia

- Hagen, John, Renaissance Scholarly Communications / Board Member – NDLTD and USETDA

26th September 2013 (Thursday) 11:00-12:00

1) Using ETDs to forge an institutional culture of open access: experiences with Indian academic libraries with special reference to creation of JNU ETD archive

- Gaur, Ramesh C, University Librarian, Jawaharlal Nehru University, India
- Arora, Jagdish, Director, INFLIBNET, India

University Grant Commission (UGC), India vide its landmark notification of 2009 has made it mandatory to all Universities in India to provide open access to Theses and Dissertations by setting up ETD repository or depositing it in a central repository i.e. Shodhganagahttp://shodhganga.inflibnet.ac.in/. Besides, INFLIBNET Centre is also providing financial assistance for digitization of Theses and creation of ETD Lab. Jawaharlal Nehru University(JNU) with 4000 Mphil / PhD students is one of the most reputed centre for excellence in Research in India. JNU has created an institutional culture of open access through ETDs i.e. signing of MoU with INFLIBNET in December 2011, organisation of; National Seminar on Managing ETDs in March 2012, and training workshop on “Creating ETD archive using Dspace” in July 2012. All 20000 Theses and Dissertations of JNU has been digitized. 2012 onwards, the electronic submission of Theses and Dissertations has also been implemented at JNU. Now uploading of all digitized theses and dissertations on the JNU ETD Archive created using Dspace is in process and expected to be completed by June 2013. It will be accessible both from JNU Library website and Shodhganaga Repository. JNU is soon joining NDLTD. The paper presents this systematic approach to creation of an institutional culture of open access at JNU Using ETDs. An Indian scenario based on my experiences as the Member of UGC National Committee on implementation of ETDs in India and with other committees & projects will also be presented in this paper.
2) When your thesis meets Endnote and Turnitin @ HKU Libraries

- Chan, Nancy, Business & Economics Faculty Librarian,
The University of Hong Kong Libraries, Hong Kong SAR
- Tsui, Diana, Architecture Faculty Librarian,
The University of Hong Kong Libraries, Hong Kong SAR
- Yiu, Antonia, Head of Information Services Division,
The University of Hong Kong Libraries, Hong Kong SAR

This presentation aims to showcase two important services offered by HKU Libraries in alignment with the research cycle of a postgraduate student. Endnote@HKU program has been well received especially by research postgraduates embarking on their theses. Students are appreciative as to how Endnote can help them organize their long list of bibliographic references and in citing the sources with convenience. This Endnote culture has been accomplished through the offer of basic training workshops, online guides and personalized consultation sessions where needed. The second program is Turnitin@HKU. Turnitin is an online text-matching tool that identifies unoriginal materials and helps ameliorate plagiarism among students. This tool has become more widely adopted campus-wide since the subscription in 2004. With the implementation of compulsory check for theses by research postgraduates, HKU Libraries has strengthened its support by offering student seminars, staff workshops and personalized consultation sessions where needed. Feedback from teaching staff has been positive in the integration of Turnitin in the learning process.

Parallel Session B - National Initiatives IV
Moderator: Martin Halbert, University of North Texas

1) ‘KRISHIKOSH’: an institutional repository of national agricultural research system of India

- Veeranjaneyulu, K., University Librarian (Professor) & CCPI of e-Granth Project,
  Achray N G Agricultural University, India

The Indian National Agricultural Research System (NARS) is a comprehensive information system for agriculture and allied sciences consisting of vast collection of rare and valuable books, reports, theses, surveys, statistical data, maps, bulletins, newsletters, journal back volumes and other documents held by libraries of different Agricultural Research Institutes and State Agricultural Universities spread all over the country. In order to provide online access to this valuable resource to researchers and scientists all over the world, an Institutional Repository named – ‘KrishiKosh’ has been created under the National Agricultural Innovation Project (NAIP).

The work of digitization of the research documents was taken up by four different centres, namely - Acharya N G Ranga Agricultural University, Hyderabad; Indian Agricultural Research Institute, New Delhi; Indian Veterinary Research Institute, Izatnagar; and University of Agricultural Sciences, Bengaluru. Using Open Source software, the material in the repository was organized into Institution-wise collection of documents such as books, journals, theses, reports, articles etc. The process of digitization including scanning, cleaning, cropping, conversion to text (OCR), conversion to PDF/A, is currently being done at four different centres. So far, more than 8.6 million pages from 19,495 documents have been digitized along with the necessary Metadata.

Applying Digital Library standards, a web interface has been developed where user can browse through Title, Date of publication, Author, Subject, etc. Advanced search option is also provided including restricted access to copyright items. User can easily register and subscribe for any collection and regularly receive updates, etc. The ‘KrishiKosh’ is a collaborative project which envisions launching an Indian agricultural knowledge portal over the Internet and sharing its research information including Electronic Theses & Dissertations (ETD) with the rest of the world using latest Information and Communication Technologies.
Open Access (OA) in the digital realm takes an important position in scientific research. There are many discussion issues related to the problematic of OA, but a thorough study of OA to scientific information especially comparative retrospective analysis of Bulgarian, Turkish and Croatian journals in the Directory of Open Access Journals (DOAJ) is missing in some European countries including Bulgaria.

OA Journals are journals that use a funding model that does not charge readers or their institutions for access. From the Budapest Open Access Initiative’s (BOAI) definition, “open access” is the right of users to “read, download, copy, distribute, print, search, or link to the full texts of these articles” as mandatory for a journal to be included in the DOAJ.

The purpose of the present paper is to provide a comparative retrospective analysis of Bulgarian, Turkish and Croatian OA Journals added in DOAJ in ten-year period 2002-2012.

First of all, OA will be defined and the global movement will be described. Second of all will be made a comparative retrospective analysis of the OA journals in Bulgaria, Turkey and Croatia.

Qualitative and quantitative data were collected by the DOAJ. The data are analyzed in terms of quantity and type, and the results are presented graphically and tabular and finally are made major specific conclusions and recommendations.

The comparative study is a part of research project with international participation “Copyright Policies of libraries and other cultural institutions” (2012-2014), (financed by National Science Fund of the Bulgarian Ministry of Education, Youth and Science, Contract No. DFNI-K01/0002-21.11.2012).
<table>
<thead>
<tr>
<th>Name</th>
<th>Position</th>
</tr>
</thead>
<tbody>
<tr>
<td>David Palmer, Chair</td>
<td>主席：宗樹朝</td>
</tr>
<tr>
<td>Annabelle Pau, Venue, ...</td>
<td>會場、住宿及晚宴：鮑國葆</td>
</tr>
<tr>
<td>Janny Lai, Sponsors</td>
<td>統籌贊助：黎琦</td>
</tr>
<tr>
<td>Esther Woo and Administrative</td>
<td>財政及行政支援：胡美華、行政部</td>
</tr>
<tr>
<td>Services Team, Budget &amp; ...</td>
<td></td>
</tr>
<tr>
<td>Alice Wong, Registration</td>
<td>會議註冊：王美燕</td>
</tr>
<tr>
<td>Gary Chin, Public Relations</td>
<td>公關：陳洪華</td>
</tr>
<tr>
<td>Peter Sidorko</td>
<td>蘇德毅</td>
</tr>
<tr>
<td>Ruth Wong</td>
<td>黃淑貞</td>
</tr>
<tr>
<td>Gayle Chan</td>
<td>陳宇青</td>
</tr>
<tr>
<td>YC Wan</td>
<td>尹耀全</td>
</tr>
<tr>
<td>Antonia Yiu</td>
<td>姚靜嫺</td>
</tr>
<tr>
<td>Name</td>
<td>Institution</td>
</tr>
<tr>
<td>----------------------------------------------------------------------</td>
<td>--------------------------------------------------</td>
</tr>
<tr>
<td>Peter Sidorko, The University of Hong Kong, Chair</td>
<td></td>
</tr>
<tr>
<td>Ana Pavani, Pontifical Catholic University of Rio de Janeiro, Brazil</td>
<td></td>
</tr>
<tr>
<td>David Palmer, The University of Hong Kong</td>
<td></td>
</tr>
<tr>
<td>Diana Chan, Hong Kong University of Science &amp; Technology</td>
<td></td>
</tr>
<tr>
<td>Ana Pavani</td>
<td>Pontifical Catholic University of Rio de Janeiro, Brazil</td>
</tr>
<tr>
<td>David Palmer</td>
<td>The University of Hong Kong</td>
</tr>
<tr>
<td>Diana Chan</td>
<td>Hong Kong University of Science &amp; Technology</td>
</tr>
<tr>
<td>Emma Olivi, University of Pretoria, SA</td>
<td></td>
</tr>
<tr>
<td>Gail McMillan, Virginia tech, USA</td>
<td></td>
</tr>
<tr>
<td>Iryna Kuchma, eIFL</td>
<td></td>
</tr>
<tr>
<td>James MacDonald, American University of Sharjah, UAE</td>
<td></td>
</tr>
<tr>
<td>Joan K. Lippincott, Coalition for Networked Information, USA</td>
<td></td>
</tr>
<tr>
<td>Li Hai Peng, Baptist University of Hong Kong</td>
<td></td>
</tr>
<tr>
<td>Libio Huaroto, University of San Marcos, Peru</td>
<td></td>
</tr>
<tr>
<td>Louise Jones, Chinese University of Hong Kong</td>
<td></td>
</tr>
<tr>
<td>Peter Schirmbacher, Humboldt-Universität zu Berlin</td>
<td></td>
</tr>
<tr>
<td>Rachel Cheng, Lingnan University</td>
<td></td>
</tr>
<tr>
<td>Scott Eldredge, Brigham Young University, USA</td>
<td></td>
</tr>
<tr>
<td>Sidney Cheng, Hong Kong Institute of Education</td>
<td></td>
</tr>
<tr>
<td>Tim Ribaric, Brock University, Canada</td>
<td></td>
</tr>
<tr>
<td>Tony Cargnelutti, UNILINC, Australia</td>
<td></td>
</tr>
<tr>
<td>Yiu Chuen Wan, The University of Hong Kong</td>
<td></td>
</tr>
<tr>
<td>Name</td>
<td>Position</td>
</tr>
<tr>
<td>-----------------------</td>
<td>-----------------------------------------------------------------</td>
</tr>
<tr>
<td>AREKAR Sandhya</td>
<td>Chief Manager</td>
</tr>
<tr>
<td>ALEMNEH Daniel G.</td>
<td>Digital Curation Coordinator</td>
</tr>
<tr>
<td>ALSALMI Jamal</td>
<td>Lecturer</td>
</tr>
<tr>
<td>ALZANKI Khaled</td>
<td>Mphil candidate</td>
</tr>
<tr>
<td>BACON-SHONE John</td>
<td>Associate Dean</td>
</tr>
<tr>
<td>BARVE Sunita</td>
<td>Senior Technical Officer</td>
</tr>
<tr>
<td>BEBBINGTON Laurence</td>
<td>Deputy Librarian</td>
</tr>
<tr>
<td>CANNON Steven J.</td>
<td>Executive Vice-President</td>
</tr>
<tr>
<td>CHAN Gayle</td>
<td>Head of Collection Development</td>
</tr>
<tr>
<td>CHAN Iris</td>
<td>Special Collections Librarian</td>
</tr>
<tr>
<td>CHAN Louis</td>
<td></td>
</tr>
<tr>
<td>CHAN Nancy</td>
<td>Science and Engineering Faculties Librarian</td>
</tr>
<tr>
<td>CHEN, L. W. 陳琳蔚</td>
<td>Newspaper Editor</td>
</tr>
<tr>
<td></td>
<td>陳琳蔚</td>
</tr>
<tr>
<td>CHENG Mary</td>
<td>Chief Librarian (Administration and Planning)</td>
</tr>
<tr>
<td>CHEUNG Helen</td>
<td>College Librarian</td>
</tr>
<tr>
<td>CHIANG Chia-Ning</td>
<td>Coordinator of NDLTD-Taiwan and TCI-HSS</td>
</tr>
<tr>
<td>CHIN Gary</td>
<td>Public Relations and Development Manager</td>
</tr>
<tr>
<td>CHING Steve</td>
<td>University Librarian</td>
</tr>
<tr>
<td>CHISITA Collence</td>
<td>Research Chair</td>
</tr>
<tr>
<td>Takaingenhamo</td>
<td></td>
</tr>
<tr>
<td>Name</td>
<td>Position</td>
</tr>
<tr>
<td>-----------------------------</td>
<td>---------------------------------</td>
</tr>
<tr>
<td>CHIU Tap Hung</td>
<td>Senior Librarian (General Reference)</td>
</tr>
<tr>
<td>CHIU Tzu-Heng</td>
<td>Associate Director</td>
</tr>
<tr>
<td>CHOW Walter</td>
<td></td>
</tr>
<tr>
<td>CHRISTENSEN Sten</td>
<td>Sydney eScholarship Repository Coordinator</td>
</tr>
<tr>
<td>CHU Wilson</td>
<td>Librarian</td>
</tr>
<tr>
<td>CLEMENT Richard</td>
<td>Dean of Libraries</td>
</tr>
<tr>
<td>CRAMOND Stephen</td>
<td>Electronic Content Manager</td>
</tr>
<tr>
<td>DHAMDHERE Sangeeta N.</td>
<td>Librarian</td>
</tr>
<tr>
<td>ELDREDGE Scott</td>
<td>Financial Officer</td>
</tr>
<tr>
<td>FENG Xiangyun</td>
<td>Peking University Library</td>
</tr>
<tr>
<td>FEUER Gabor, PhD</td>
<td>Library IT Manager</td>
</tr>
<tr>
<td>FIDZANI Babakisi T.</td>
<td>Deputy Director</td>
</tr>
<tr>
<td>FLYNN-PURVIS Catherine</td>
<td>Institutional Repository Coordinator</td>
</tr>
<tr>
<td>Gaur Ramesh C.</td>
<td>University Librarian</td>
</tr>
<tr>
<td>GREENBERG Charles J.</td>
<td>Research Services Librarian</td>
</tr>
<tr>
<td>GRENZ Daryl</td>
<td>Library Consultant</td>
</tr>
<tr>
<td>GUPTA Dinesh K.</td>
<td>Professor &amp; Chairman</td>
</tr>
<tr>
<td>HAGEN John H.</td>
<td>Consultant</td>
</tr>
<tr>
<td>HALBERT Martin</td>
<td>Dean of Libraries</td>
</tr>
<tr>
<td>HIDEKI Uchijima</td>
<td>Head of Division of Information Processing and Management</td>
</tr>
<tr>
<td>HO Ko-lum 何高霖</td>
<td>Senior Librarian</td>
</tr>
<tr>
<td>Name</td>
<td>Position</td>
</tr>
<tr>
<td>----------------------</td>
<td>---------------------------------</td>
</tr>
<tr>
<td>HUANG Yi-Shuan</td>
<td>Librarian</td>
</tr>
<tr>
<td>JIANG Airong</td>
<td>Associate Librarian</td>
</tr>
<tr>
<td>JONES Louise</td>
<td>University Librarian</td>
</tr>
<tr>
<td>KADAM Snehal</td>
<td>Manager</td>
</tr>
<tr>
<td>KINDLING Maxi</td>
<td>Researcher</td>
</tr>
<tr>
<td>KIPKURGAT Benjamin</td>
<td>IR Librarian, UEAB</td>
</tr>
<tr>
<td>KOT Cindy</td>
<td>Assistant Library Officer II</td>
</tr>
<tr>
<td>KUNG Peter</td>
<td>Senior Director of Sales, Greater China</td>
</tr>
<tr>
<td>KWAN Lisa</td>
<td>Associate Librarian</td>
</tr>
<tr>
<td>KWOK Lai-kuen, Elaine</td>
<td>Senior Librarian (Islands)</td>
</tr>
<tr>
<td>LAI Janny</td>
<td>Acquisitions Librarian</td>
</tr>
<tr>
<td>LAM Chun Kwong</td>
<td>Head, Special Collections</td>
</tr>
<tr>
<td>LAM Elaine</td>
<td>Assistant Librarian</td>
</tr>
<tr>
<td>LAM Louisa</td>
<td>Head of Information Technology and Planning</td>
</tr>
<tr>
<td>LAU Peter</td>
<td>Librarian</td>
</tr>
<tr>
<td>LAU Sheung-wan</td>
<td>Senior Librarian (Processing 1)</td>
</tr>
<tr>
<td>LEE Becky</td>
<td>Media Resources and Scholarly Communications Manager</td>
</tr>
<tr>
<td>LEE Linda</td>
<td>JULAC Manager</td>
</tr>
<tr>
<td>LEE Mathew</td>
<td>Senior Solutions Manager</td>
</tr>
<tr>
<td>LEE Mei-ling</td>
<td>Senior Librarian (Hong Kong Central Library) Infrastructure Development</td>
</tr>
<tr>
<td>Name</td>
<td>Position</td>
</tr>
<tr>
<td>----------</td>
<td>-----------------------------------------------</td>
</tr>
<tr>
<td>LEE Paul</td>
<td>Associate Librarian</td>
</tr>
<tr>
<td>LEE Ryun</td>
<td>Head, Western Language Section, Cataloging Dept.</td>
</tr>
<tr>
<td>LEE Tsz-chun 李子俊</td>
<td>Senior Librarian (Planning &amp; Development)</td>
</tr>
<tr>
<td>LEE Y.M.</td>
<td>Assistant Director (Libraries and Development)</td>
</tr>
<tr>
<td>LEUNG Roger</td>
<td>Senior Information Technology Officer</td>
</tr>
<tr>
<td>LI Hai Peng</td>
<td>University Librarian</td>
</tr>
<tr>
<td>LI Mei</td>
<td>Senior Assistant Librarian</td>
</tr>
<tr>
<td>LI, X. 李欣</td>
<td>Deputy Librarian 副館長</td>
</tr>
<tr>
<td>LI Xiao Li</td>
<td>Head of Cataloging &amp; Metadata Services Department</td>
</tr>
<tr>
<td>LI Yiu On</td>
<td>Senior Assistant Librarian</td>
</tr>
<tr>
<td>LI Yuan</td>
<td>Scholarly Communication Librarian</td>
</tr>
<tr>
<td>LIHITKAR Shalini Ramdas Lihitkar</td>
<td>Asst. Professor &amp; Head</td>
</tr>
<tr>
<td>LIU, D. Y. 劉丹陽</td>
<td>Staff 職員</td>
</tr>
<tr>
<td>LIU Huei-Lan</td>
<td>Repository Support Officer</td>
</tr>
<tr>
<td>LIU Jeff</td>
<td>Assistant Librarian II</td>
</tr>
<tr>
<td>LOVELOCK Robyne</td>
<td>Senior Account Manager</td>
</tr>
<tr>
<td>LOW Don</td>
<td></td>
</tr>
<tr>
<td>LUI Alan</td>
<td>Executive Director, Business Development of China, Hong Kong and Taiwan</td>
</tr>
<tr>
<td>LUI Cindy</td>
<td>Associate Librarian</td>
</tr>
<tr>
<td>Name</td>
<td>Position</td>
</tr>
<tr>
<td>-----------------------</td>
<td>-----------------------------------------------</td>
</tr>
<tr>
<td>MACDONALD James</td>
<td>Web Services Librarian</td>
</tr>
<tr>
<td>MAEDA Akira</td>
<td>Chief of Library Liaison Team</td>
</tr>
<tr>
<td>MAK Lucas</td>
<td>Metadata and Catalog Librarian</td>
</tr>
<tr>
<td>MAK Venia</td>
<td>Head of Access Services</td>
</tr>
<tr>
<td>MANAKA Takayuki</td>
<td>Librarian</td>
</tr>
<tr>
<td>MCLEAN Austin</td>
<td>Director of Publishing</td>
</tr>
<tr>
<td>MINIHAN Brian Edward</td>
<td>Assistant Librarian</td>
</tr>
<tr>
<td>MOTEBENNUR Maltesh Ningappa</td>
<td>Librarian</td>
</tr>
<tr>
<td>NAKAYAMA Satoshi</td>
<td>Librarian</td>
</tr>
<tr>
<td>NG Alfred</td>
<td>Assistant Sales Manager</td>
</tr>
<tr>
<td>NG Flora</td>
<td>Executive Officer</td>
</tr>
<tr>
<td>NTUNGIRIMANA Jean Bosco</td>
<td>Vice President of Burundian’s Association of Librarians, Archivists and Documentalists</td>
</tr>
<tr>
<td>O’CONNOR Steve</td>
<td>Editor of Library Management</td>
</tr>
<tr>
<td>PALMER David</td>
<td>Head of Digital Strategies and Technical Services / Digital Strategies Librarian</td>
</tr>
<tr>
<td>PAU Annabelle</td>
<td>Audio-visual and Reserve Collection Librarian</td>
</tr>
<tr>
<td>PAVANI Ana</td>
<td>Associate Professor</td>
</tr>
<tr>
<td>RAJENDRAN Periyaswamy</td>
<td>University Librarian</td>
</tr>
<tr>
<td>ROWLANDS Ian</td>
<td>Research Services Manager</td>
</tr>
<tr>
<td>SALAMAT Karen Vanessa</td>
<td>Library and Knowledge Center Manager</td>
</tr>
<tr>
<td>SCHIRMBACHER Peter</td>
<td>Director Computer and Media Service</td>
</tr>
<tr>
<td>SHEN Liming</td>
<td>Assistant Librarian II</td>
</tr>
<tr>
<td>Name</td>
<td>Position</td>
</tr>
<tr>
<td>-----------------------------</td>
<td>-----------------------------</td>
</tr>
<tr>
<td>SHEWALE Nanaji</td>
<td>Librarian</td>
</tr>
<tr>
<td>SIDORKO Peter</td>
<td>University Librarian</td>
</tr>
<tr>
<td>SIT Wai-yee, Agnes 薛慧宜</td>
<td>Librarian (Ping Shan Tin Shui Wai Public Library) 3</td>
</tr>
<tr>
<td>SO Edward</td>
<td>Systems Librarian</td>
</tr>
<tr>
<td>TAM Gladys</td>
<td>Reference Librarian</td>
</tr>
<tr>
<td>TANG Mei Ling</td>
<td></td>
</tr>
<tr>
<td>THISTLETHWAITE Polly</td>
<td>Chief Librarian</td>
</tr>
<tr>
<td>TODOROVA Tania</td>
<td>Assoc. Prof. Dr.</td>
</tr>
<tr>
<td>TOMITA Kenichi</td>
<td>Director of Administration Office</td>
</tr>
<tr>
<td>TONG Tung-kit 唐東傑</td>
<td>Senior Librarian (Tuen Mun)</td>
</tr>
<tr>
<td>TRENCHUEVA Tereza</td>
<td>Chief Assistant Professor</td>
</tr>
<tr>
<td>TSE Ka-yee 謝嘉儀</td>
<td>Senior Librarian (Tsuen Wan)</td>
</tr>
<tr>
<td>TSUI Diana</td>
<td>Architecture Faculty Librarian</td>
</tr>
<tr>
<td>VEERANJANEYULUK.</td>
<td>University Librarian (Professor)</td>
</tr>
<tr>
<td>VILLAFLOR Tonet</td>
<td>Head Librarian</td>
</tr>
<tr>
<td>WAN Y.C.</td>
<td>Deputy University Librarian</td>
</tr>
<tr>
<td>WANG Hung-Te</td>
<td>Assistant Editor</td>
</tr>
<tr>
<td>WAUGH Laura</td>
<td>Repository Librarian</td>
</tr>
<tr>
<td>WANG, S. 王爽</td>
<td>Librarian 館員</td>
</tr>
<tr>
<td>WANG, Y.L. 王亞林</td>
<td>Deputy Officer of Resources Building Department 資源建設部副主任</td>
</tr>
<tr>
<td>Name</td>
<td>Position</td>
</tr>
<tr>
<td>--------------------</td>
<td>-----------------------------------------------</td>
</tr>
<tr>
<td>WONG Alexander</td>
<td>Assistant Librarian (Digital Initiatives) / Faculty Librarian (School of Hotel &amp; Tourism Management)</td>
</tr>
<tr>
<td>WONG Angela</td>
<td>Assistant Librarian</td>
</tr>
<tr>
<td>WONG Ruth</td>
<td>Medical Librarian</td>
</tr>
<tr>
<td>WONG Yee-sang, Dora 黃漪笙</td>
<td>Senior Librarian (Processing) 2</td>
</tr>
<tr>
<td>WOO Esther</td>
<td>Head of Administrative Services</td>
</tr>
<tr>
<td>WOO Wing</td>
<td>Senior Assistant Librarian</td>
</tr>
<tr>
<td>WU Brian</td>
<td></td>
</tr>
<tr>
<td>YAMADA Tomomi</td>
<td>Group Leader</td>
</tr>
<tr>
<td>YIU Antonia</td>
<td>Head of Information Services</td>
</tr>
<tr>
<td>YU, Y.X. 于亞秀</td>
<td>Librarian 館員</td>
</tr>
<tr>
<td>ZENG, L.J. 曾麗軍</td>
<td>Information Services Officer</td>
</tr>
<tr>
<td>ZHANG Hongyang</td>
<td>Head of Special Collections, Peking University Library Beijing 大學圖書館特藏部主任</td>
</tr>
<tr>
<td>ZHANG Xiaolin</td>
<td>Director</td>
</tr>
<tr>
<td>ZHANG Yiwen</td>
<td>Librarian 館員</td>
</tr>
<tr>
<td>ZHAO, W.Q. 趙文綺</td>
<td>Deputy Research Librarian of Library</td>
</tr>
<tr>
<td>ZHAO Yang</td>
<td>Librarian 館員</td>
</tr>
</tbody>
</table>
ETD2014 takes place in Leicester, UK from Wednesday 23 July to Friday 25 July 2014. For more information, please visit: http://www2.le.ac.uk/library/etd2014
Maps

66 Causeway Road, Causeway Bay, Hong Kong
Hong Kong Central Library G/f

公共交通 Public Transportation

1. 巴士路綫 Bus Route Nos.

- 经高士威道 via Causeway Road

- 摩顿台巴士總站 (经高士威道及摩顿台)

- Moreton Terrace Bus Terminal (via Tung Lo Wan Road & Moreton Terrace)

2. 港鐵 (網絡圖站 F 出口 / 天后站 B 出口)

- MTR (Causeway Bay Station Exit F / Tin Hau Station Exit B)

3. 電車 Tram
Maps

Regal Palace Restaurant at the Regal Hotel,
88 Yee Wo Street, Causeway Bay, Hong Kong
THE CHOICE OF LEADING INSTITUTIONS FOR GLOBAL VISIBILITY

Since 1938, ProQuest has acted as a trusted steward in archiving, preserving, and disseminating the scholarly record of thousands of leading institutions worldwide.

ProQuest’s system simplifies the submission process for students and graduate administrators alike by moving all steps online and eliminating the need to box and ship paper copies of each dissertation or thesis.

Graduate works submitted via ProQuest ETD Administrator are included in ProQuest Dissertations & Theses—Full Text database at no additional cost. Researchers conduct more than 200 million searches of millions of works each year via our database—the most comprehensive and prestigious collection of dissertations and theses in the world—that is continuously expanding.

Together, we’re making breakthrough graduate research discoverable around the world.

For information, visit www.proquest.com or contact your ProQuest Account Manager.
The 19th century changed the world
Gale is revolutionizing 19th century research

For more details, visit:
http://gdc.gale.com/nineteenthcentury

Unites multiple, distinct archives into a single
resource of a wide variety of previously
unavailable primary sources around the globe

View our simple auto-pairing guide:

With our strong professional engineer team
backed by a large service engineer force in
the field, Ricoh can deliver the best ICT
solution and outsourced services to meet your
requirements.

Ricoh Hong Kong Limited
www.ricoh.com.hk
Tel: 2833 1111
The Academic Solution –

iThenticate®
Professional Plagiarism Prevention

iThenticate is the leader in professional plagiarism prevention. It authenticates faculty research, faculty-authored articles or textbooks, grant proposals, supplemental course materials, dissertations and theses. iThenticate will –

- **Ensure due diligence** and prevent academic misconduct before it occurs
- **Reduce time spent** investigating and adjudicating misconduct cases
- **Protect the reputation** of the institution, research advisor, writer and publisher

Contact ALDIS at –
enquiry@aldis.com.au
Ph +61 3 9502 0347
www.aldis.com.au

Wiley Open Access
Quality Open Access Research

A growing portfolio of journals offering:
- Open access
- High standard, rigorous peer review
- Quality and reputation: supported by Wiley’s network of prestigious journals and societies
- Rapid publication

For institutions and funders:
- Open access to research from your organization
- Institutional and funder accounts for easy payment and administration
- Open access fee discounts on upfront payment plans
- Institutional and funder metrics and reporting

www.wileyopenaccess.com

The Most Comprehensive, Customizable Discovery Solution

For more details visit http://www.ebscohost.com/discovery
Sapientia et Virtus